



RECEIPT #5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: David A. Edwards, Robert S. Langer, Rita Vanbever,
Jeffrey Mintzes, Jue Wang, and Donghao Chen

Serial No: 08/971,791

Art Unit: 1615

Filed: November 17, 1997

Examiner: Not yet assigned

For: *PREPARATION OF NOVEL PARTICLES FOR INHALATION*

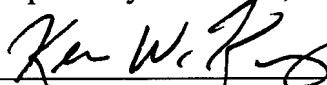
Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR A CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request issuance of a corrected filing receipt in the above identified application to correct the name of applicant Joe Wang to Jue Wang; to show that this application claims priority to U.S. application serial number 60/059,004 filed September 15, 1997, and U.S. application serial number 08/784,421 filed January 16, 1997; and to correct the attorney docket number, MIT 7513/7804. To facilitate this request, applicants enclose copies of the executed Declaration of Inventorship and Power of Attorney mailed December 17, 1997; the unexecuted Declaration of Inventorship originally filed on November 17, 1997; the Official Filing Receipt (with corrections noted in red); and the returned postcard, which indicates that the Patent Office received the documents mailed December 17, 1997.

Respectfully submitted,


Kevin W. King
Reg. No. P-42,737

Date: June 24, 1998
ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1200 West Peachtree Street
Atlanta, Georgia 30309-3450
404/873-8596
404/873-8597 (fax)

U.S.S.N. 08/971,791

Filed: November 17, 1997

REQUEST FOR A CORRECTED FILING RECEIPT

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this REQUEST FOR A CORRECTED FILING RECEIPT, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Eva Mukasa

Date: June 24, 1998

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98 JUL 14 AM 7:08
GROUP 180

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MIT 7513/78
App & PTO
Right

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/971,791	11/17/97	1615	\$1,316.00	20220/458	8	38	2

MIT 7513/7804

PATREA L PABST
ARNALL GOLDEN AND GREGORY
2800 ONE ATLANTIC CENTER
1201 WEST PEACHTREE STREET
ATLANTA GA 30309-3450

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

DAVID A. EDWARDS, STATE COLLEGE, PA; ROBERT S. LANGER, NEWTON, MA; RITA VANBEVER, CAMBRIDGE, MA; JEFFREY MINTZES, STATE COLLEGE, PA; ~~JOE WANG, STATE COLLEGE, PA~~; DONGHAO CHEN, STATE COLLEGE, PA. JUE WANG, STATE COLLEGE, PA.

THIS APPLICATION CLAIMS PRIORITY TO 60/059,004 09/15/1997; and
TO 08/784,421 01/16/1997

FOREIGN FILING LICENSE GRANTED 03/04/98

TITLE

PREPARATION OF PARTICLES FOR INHALATION

PRELIMINARY CLASS: 514

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GROUP 180

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MAR 11 1998
PATENT DEPT.

DOCKETED FOR _____
BY JSH
DATE 3-16-98



DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

"Preparation of Particles for Inhalation"

the specification of which (check one)

☒ is attached hereto
☒ was filed on November 17, 1997
as application Serial No. _____
_____ and was amended on: _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Claimed
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date
_____ (Number)	<u>9/15/97</u> (Day/Month/Year Filed)
_____ (Number)	_____ (Day/Month/Year Filed)
_____ (Number)	_____ (Day/Month/Year Filed)

DECLARATION

MIT7513/7804
20220/458

Title: "Preparation of Particles for Inhalation"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Joe Wang, Donghao Chen

Filed: November 17, 1997

DECLARATION

Full name of second inventor Robert S. Langer

Inventor's signature _____ Date _____

Residence 77 Lombard Street, Newton, MA 02158

Citizenship U.S.

Post Office Address same

Full name of third inventor Rita Vanbever

Inventor's signature _____ Date _____

Residence 11 Centre St., #3, Cambridge, MA

Citizenship U.S.

Post Office Address same

Full name of fourth inventor Jeffrey Mintzes

Inventor's signature _____ Date _____

Residence 421 E. Beaver Avenue, Apt. A-12, State College, PA 16801

Citizenship U.S.

Post Office Address same

Full name of fifth inventor Joe Wang

Inventor's signature _____ Date _____

Residence 869 Southgate Dr., State College, PA 16801

Citizenship U.S.

Post Office Address same

Full name of sixth inventor Donghao Chen

Inventor's signature _____ Date _____

Residence 1013 South Allen Street, #408, State College, PA 16801

Citizenship U.S.

Post Office Address same



The "Received" stamp of the Patent Office imprinted hereon acknowledges the filing of:
Applicant: David A. Edwards, et al.

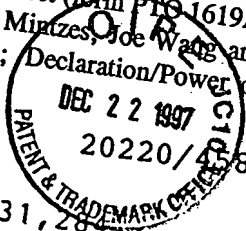
Serial & Docket No. 08/971,791 MIT 7513/7804
Filed: November 17, 1997

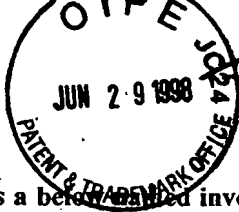
Papers Submitted:

Transmittal of Assignments, Declaration/Power of Attorney, and Certificate Under 37 CFR §3.73(b) with Certificate of Mailing Under 37 CFR §1.8(a) (in duplicate); Recordation Form Cover Sheet (form PTO 1619A) and Assignment from Robert S. Langer and Rita Vanbever to Massachusetts Institute of Technology; Recordation Form Cover Sheet (form PTO 1619A) and Assignment from David A. Edwards, Jeffrey Mintzes, Joe Wang and Donghao Chen to Penn State Research Foundation; Declaration/Power of Attorney; and Checks for \$130.00 and \$80.00.

Date: December 17, 1997

By: Patrea L. Pabst, Reg. No. 31,284





DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

"PREPARATION OF PARTICLES FOR INHALATION"

the specification of which (check one)

_____ is attached hereto

☒ was filed on November 17, 1997
as application Serial No. 08/971,791

_____ and was amended on: _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority
Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number

Filing Date

60/059,004
(Number)

September 15, 1997
(Day/Month/Year Filed)

(Number)

(Day/Month/Year Filed)

(Number)

(Day/Month/Year Filed)

DECLARATION

MIT 7513/7804
20220-0458


"PREPARATION OF NOVEL PARTICLES FOR INHALATION"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Jue Wang, and Donghao Chen

Filed: November 17, 1997

DECLARATION

Full name of second inventor Robert S. Langer

Inventor's signature  Date 12/8/97

Residence 77 Lombard Street, Newton, MA 02158

Citizenship United States

Post Office Address Same as above

"PREPARATION OF NOVEL PARTICLES FOR INHALATION"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Jue Wang, and Donghao Chen

Filed: November 17, 1997

DECLARATION

Full name of third inventor Rita Vanbever

Inventor's signature 

Date 12/8/97

Residence 11 Centre St. #3, Cambridge, MA 02139

Citizenship United States

Post Office Address Same as above

"PREPARATION OF NOVEL PARTICLES FOR INHALATION"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Jue Wang, and Donghao Chen

Filed: November 17, 1997

DECLARATION

Full name of fourth inventor Jeffrey Mintzes

Inventor's signature Jeffrey Mintzes Date 12-11-97

Residence 421 E. Beaver Avenue, Apt. A-12, State College, PA 16801

Citizenship United States

Post Office Address Same as above

Full name of fifth inventor Jue Wang

Inventor's signature Jue Wang Date 12-11-97

Residence 869 Southgate Dr., State College, PA 16801

Citizenship United States

Post Office Address Same as above

Full name of sixth inventor Donghao Chen

Inventor's signature Donghao Chen Date 12-11-97

Residence 1013 South Allen Street, #408, State College, PA 16801

Citizenship United States

Post Office Address Same as above